

# 140A Midterm 1 Solutions - Fall 2009

November 20, 2009

**Problem 1.** *Prove that the cube root of 12 is an irrational number.*

*Proof.* Suppose that  $12^{1/3}$  is rational, i.e. there exist relatively prime integers  $a$  and  $b$  such that

$$12^{1/3} = \frac{a}{b}.$$

Then

$$a^3 = 12b^3 = 2^2 3b^3.$$

So 3 divides  $a^3$ . Since 3 is prime, 3 divides  $a$  and so  $3^3$  divides  $a^3$ . Then  $3^3$  divides  $2^2 3b^3$ . Since 3 is prime, 3 divides  $b$  contradicting that  $a$  and  $b$  are relatively prime.  $\square$

**Problem 2.** *Describe an explicit method for constructing a bijection between the set of rational numbers and the set of positive integers.*

*Proof.* The key here is to define some function  $f : \mathbb{N} \rightarrow \mathbb{Q}$  that hits every element of  $\mathbb{Q}$  exactly once. We construct a diagram similar to the one on page 29 of Rudin in the proof of theorem 2.12.

0	-1	1	-2	2	-3	3	...
$\frac{0}{1}$	$-\frac{1}{2}$	$\frac{1}{3}$	$-\frac{2}{4}$	$\frac{2}{5}$	$-\frac{3}{6}$	$\frac{3}{7}$	...
$\frac{0}{2}$	$-\frac{1}{3}$	$\frac{1}{4}$	$-\frac{2}{5}$	$\frac{2}{6}$	$-\frac{3}{7}$	$\frac{3}{8}$	...
$\frac{0}{3}$	$-\frac{1}{4}$	$\frac{1}{5}$	$-\frac{2}{6}$	$\frac{2}{7}$	$-\frac{3}{8}$	$\frac{3}{9}$	...
$\frac{0}{4}$	$-\frac{1}{5}$	$\frac{1}{6}$	$-\frac{2}{7}$	$\frac{2}{8}$	$-\frac{3}{9}$	$\frac{3}{10}$	...
$\vdots$							

Then we define our map to go diagonally as in Rudin's diagram, skipping repeated elements of  $\mathbb{Q}$ . Then we have  $f(1) = 0$ ,  $f(2) = -1$ ,  $f(3) = -1/2$ ,  $f(4) = 1$ ,  $f(5) = -1/3$ , ... This gives a bijection from  $\mathbb{N} \rightarrow \mathbb{Q}$   $\square$

**Problem 3.** *Jane claims that she has found a pair of real numbers  $a < b$  such that the interval  $(a, b) \subset \mathbb{R}$  contains no irrational numbers. Prove that Jane is mistaken.*

*Proof.* Suppose there exist a pair of real numbers  $a < b$  such that the interval  $(a, b)$  contains no irrational numbers. Then  $(a, b) \subset \mathbb{Q}$  and hence is countable. This is a contradiction since any interval in  $\mathbb{R}$  is uncountable.  $\square$

**Problem 4.** *Let  $L$  denote the  $x$ -axis in the usual Cartesian plane  $\mathbb{R}^2$ . Give an example of a closed set  $E$  in the plane which has points arbitrarily close to  $L$ , but such that  $E$  is disjoint from  $L$ . Does such an example exist if  $L$  were the circle  $x^2 + y^2 = 1$  instead of the  $x$ -axis?*

*Proof.* The graph of the function  $f(x) = 1/x$  on the interval  $(0, \infty)$  does the trick, i.e. let

$$E = \left\{ \left( x, \frac{1}{x} \right) : x > 0 \right\}.$$

If  $L$  were the circle  $x^2 + y^2 = 1$ , no such example exists. The reason here is because the unit circle is a compact subset of  $\mathbb{R}^2$ , and then the following theorem applies:

**Theorem 1.** Let  $X$  be a metric space.  $L \subseteq X$  be compact and let  $E \subseteq X$  be closed. Then  $d(L, E) > 0$ . □

**Problem 5.** Let  $E$  be the set of those real numbers in the interval  $(0, 1)$  with infinite decimal expansions  $.p_1p_2p_3\dots$  such that at least one of the digits  $p_i$  is 0 or 9. Is  $E$  open in  $\mathbb{R}$ ? Justify.

*Proof.* Yes,  $E$  is open in  $\mathbb{R}$ . To do this we show that if  $p \in E$  then there is a neighborhood of  $p$  contained in  $E$ .

Let  $p = 0.p_1p_2p_3\dots \in E$ . Then there exists an integer  $k$  such that  $p_k$  is 0 or 9. Let  $r = 10^{-(k+1)}$ . Now we have to consider several cases.

If  $p_{k+1}$  is not 0 or 9, it is clear that  $N_r(p) \subset E$  since every element of  $N_r(p)$  has the  $k$ th digit equal to  $p_k$ .

If  $p_k = 0$  and  $p_{k+1} = 0$ , then any element in  $N_r(p)$  has the  $k$ th digit equal to 0 or 9.

If  $p_k = 0$  and  $p_{k+1} = 9$ , then any element in  $N_r(p)$  has either the  $k$ th digit equal to 0 or the  $(k+1)$ th digit equal to 0.

If  $p_k = 9$  and  $p_{k+1} = 0$ , then any element in  $N_r(p)$  has either the  $k$ th digit equal to 9 or the  $(k+1)$ th digit equal to 9.

If  $p_k = 9$  and  $p_{k+1} = 9$ , then any element in  $N_r(p)$  has the  $k$ th digit equal to 0 or 9.

In any of the above cases,  $N_r(p) \subseteq E$  and hence  $E$  is open. □

**Problem 6.** Let  $E$  be a bounded open subset of  $\mathbb{R}$  such that  $0 \in E$ . Let  $M = \{x \in E : [0, x] \subset E\}$ . Let  $\alpha$  denote the least upper bound of  $M$  in  $\mathbb{R}$ . Prove that  $\alpha \notin M$

*Proof.* Suppose  $\alpha \in M$ . Then by definition of  $M$ ,  $[0, \alpha] \subset E$ , in particular,  $\alpha \in E$ . Since  $E$  is open, there exists  $r > 0$  such that  $(\alpha - r, \alpha + r) \subset E$ . Then we have that

$$[0, \alpha + r/2] \subset [0, \alpha + r) = [0, \alpha] \cup (\alpha - r, \alpha + r) \subset E.$$

Then by definition of  $M$ ,  $\alpha + r/2 \in M$  and  $\alpha + r/2 > \alpha$ , contradicting that  $\alpha = \sup M$ .

Hence  $\alpha \notin M$  by contradiction. □