

Math 203, Problem Set 1. Due Wednesday, April 18.

1. (Examples of flat morphisms.)

- (i) Give an example of a flat morphism $f : X \rightarrow T$ such that $f^{-1}(t)$ is irreducible for all $t \neq t_0$, while $f^{-1}(t_0)$ is not irreducible.
- (ii) Give an example of a flat morphism $f : X \rightarrow T$ such that $f^{-1}(t)$ is reduced for all $t \neq t_0$, while $f^{-1}(t_0)$ is not reduced.

2. (Flatness and pullbacks.) Assume $f : X \rightarrow Y$ is a flat morphism. Show that exact sequences of coherent sheaves on Y are still exact on X after pull back.

3. (Base-change and flatness.)

- (i) Show that if M is an A -flat module, and B is A -algebra, then $M \otimes_A B$ is B -flat.
- (ii) Show that flatness $f : X \rightarrow Y$ is preserved under base-change by a morphism $g : Y' \rightarrow Y$.

4. (Flatness and sections.)

- (i) Show that if M is B -flat and B is a flat A -algebra, then M is A -flat.
- (ii) Let A be a B -algebra, and let M be A -module. Show that M is B -flat iff $M_{\mathfrak{p}}$ is B -flat for all primes \mathfrak{p} in A .
- (iii) Let $f : X \rightarrow Y$ be flat and $U \subset X$ and $V \subset Y$ are affine open sets with $U \subset f^{-1}(V)$. Show the flatness of the homomorphism

$$\mathcal{O}_Y(V) \rightarrow \mathcal{O}_X(U).$$

5. (Flatness over PID, DVR, dual numbers.)

- (i) Show that a module M is A -flat iff $\text{Tor}_1^A(M, A/\mathfrak{i}) = 0$ for all ideals \mathfrak{i} in A .
- (ii) Show that if A is a PID, then M is A -flat iff M is torsion free.
- (iii) Show that if A is a DVR with local parameter t , then M is A -flat iff t is not a zero divisor on M .
- (ii) Let $D = k[\epsilon]/(\epsilon^2)$. Show that M is D -flat iff the multiplication by $\epsilon : M/\epsilon M \rightarrow M$ is injective. This is important in deformation theory.

6. (Example of a non-flat morphism.) Let

$$X = \text{Spec } k[x, y, z, w]/(xz, yz, xw, yw)$$

be the union of the (xy) -plane and (zw) -plane in \mathbb{A}^4 . Let

$$\pi : X \rightarrow \mathbb{A}^2$$

be given by

$$k[s, t] \rightarrow k[x, y, z, w]/(xz, yz, xw, yw), \quad s \mapsto x + z, t \mapsto y + w.$$

Show that π is not flat. You may wish to check the definition directly.

Geometrically, X is a union of two planes intersecting at a point, and π maps these planes isomorphically onto \mathbb{A}^2 . This example should be contrasted with the criterion for flatness discussed in class when the base is a smooth curve.

The final question is *optional*.

7. (*Some deformation theory. The Hilbert “scheme”.*)

Let

$$\mathcal{F} : (\text{Sch}) \rightarrow (\text{Set})$$

be a contravariant functor between the category of schemes and the category of sets.

Let X be an element of the set $\mathcal{F}(\text{Spec } k)$. The tangent space of \mathcal{F} at X is by definition the fiber over X of the natural map

$$\mathcal{F}(\mathbb{D}) \rightarrow \mathcal{F}(\text{Spec } k).$$

Here $\mathbb{D} = \text{Spec } k[\epsilon]/(\epsilon^2)$.

- (i) Let X be a variety over k . Recall that in the first few lectures of Math 203b we have defined the functor of points

$$h_X : (\text{Sch}) \rightarrow (\text{Set}), \quad S \mapsto \text{Mor}(S, X).$$

Note that $h_X(\text{Spec } k) = X(k)$. Show that the tangent space of the functor h_X at the point $p \in X$ coincides with the Zariski tangent space $T_{X,p}$. This should be immediate provided you solved an older homework in Math 203b.

- (ii) The Hilbert functor

$$\mathcal{H} : (\text{Sch}) \rightarrow (\text{Sets})$$

is defined by assigning to each scheme S , flat families

$$\pi : \mathcal{X} \rightarrow S, \quad \mathcal{X} \subset S \times \mathbb{P}^r$$

up to isomorphism of S -schemes. Using problem 3(ii), convince yourself that \mathcal{H} is a contravariant functor.

- (iii) If $X \subset \mathbb{P}^r$, then the tangent space of the Hilbert functor at X is $\text{Hom}_{\mathbb{P}^r}(\mathcal{I}_X, \mathcal{O}_X)$. This tangent space parametrizes infinitesimal deformations of X inside \mathbb{P}^r i.e. \mathbb{D} -flat families

$$\mathcal{X} \rightarrow \mathbb{D}, \quad \mathcal{X} \subset \mathbb{P}^r \times \mathbb{D},$$

which restrict to X .

Hint: You should first treat the affine case, then glue. For the affine case, the problem can be rephrased as follows: given an ideal

$$\mathfrak{i} \subset A$$

find an ideal

$$\mathfrak{i}' \subset A' := A[\epsilon]/(\epsilon^2)$$

with A'/\mathfrak{i}' flat over D , such that

$$A'/\mathfrak{i}' \otimes_D k = A/\mathfrak{i}.$$

Show these ideals are classified by $\text{Hom}_A(\mathfrak{i}, A/\mathfrak{i})$. To this end, the criterion of flatness in Problem 5 gives the exactness of

$$0 \rightarrow A/\mathfrak{i} \rightarrow^{\times \epsilon} A'/\mathfrak{i}' \rightarrow A/\mathfrak{i} \rightarrow 0.$$

Use this as a first row of a natural square diagram and diagram chase.

If you get stuck, you can look this up in Hartshorne's deformation theory, but do write up your own solution.

(iii) In particular, if X is smooth, the tangent space can be expressed as

$$\text{Hom}_{\mathbb{P}^r}(\mathcal{I}_X, \mathcal{O}_X) = \text{Hom}_X(\mathcal{I}_X/I_X^2, \mathcal{O}_X) = \text{Hom}_X(N_{X/\mathbb{P}^r}^\vee, \mathcal{O}_X) = H^0(X, N_{X/\mathbb{P}^r}).$$

This is of course quite suggestive if you draw a picture.

(iv) It is a difficult result that the Hilbert functor is the functor of points of a certain scheme, called the Hilbert scheme. The above calculation therefore gives the tangent space to the Hilbert scheme, and it is useful to get an upper bound on the dimension.