

**Math 203, Problem Set 2. Due Monday, April 22.**

**1.** (*Examples of flat morphisms.*)

- (i) Give an example of a flat morphism  $f : X \rightarrow T$  such that  $f^{-1}(t)$  is irreducible for all  $t \neq t_0$ , while  $f^{-1}(t_0)$  is not irreducible.
- (ii) Give an example of a flat morphism  $f : X \rightarrow T$  such that  $f^{-1}(t)$  is reduced for all  $t \neq t_0$ , while  $f^{-1}(t_0)$  is not reduced.

**2.** (*Flatness and pullbacks.*) Assume  $f : X \rightarrow Y$  is a flat morphism. Show that exact sequences of coherent sheaves on  $Y$  are still exact on  $X$  after pull back.

**3.** (*Base-change and flatness.*)

- (i) Show that if  $M$  is an  $A$ -flat module, and  $B$  is  $A$ -algebra, then  $M \otimes_A B$  is  $B$ -flat.
- (ii) Show that flatness  $f : X \rightarrow Y$  is preserved under base-change by a morphism  $g : Y' \rightarrow Y$ .

**4.** (*Flatness and sections.*)

- (i) Show that if  $M$  is  $B$ -flat and  $B$  is a flat  $A$ -algebra, then  $M$  is  $A$ -flat.
- (ii) Let  $A$  be a  $B$ -algebra, and let  $M$  be  $A$ -module. Show that  $M$  is  $B$ -flat iff  $M_{\mathfrak{p}}$  is  $B$ -flat for all primes  $\mathfrak{p}$  in  $A$ .
- (iii) Let  $f : X \rightarrow Y$  be flat and  $U \subset X$  and  $V \subset Y$  are affine open sets with  $U \subset f^{-1}(V)$ . Show the flatness of the homomorphism

$$\mathcal{O}_Y(V) \rightarrow \mathcal{O}_X(U).$$

Thus  $f : \text{Spec } A \rightarrow \text{Spec } B$  is flat iff  $f^* : B \rightarrow A$  is flat.

**5.** (*Flatness over PID, DVR, dual numbers.*)

- (i) Show that if  $A$  is a PID, then  $M$  is  $A$ -flat iff  $M$  is torsion free.
- (ii) Show that if  $A$  is a DVR with local parameter  $t$ , then  $M$  is  $A$ -flat iff  $t$  is not a zero divisor on  $M$ .
- (iii) Let  $D = k[\epsilon]/(\epsilon^2)$ . Show that  $M$  is  $D$ -flat iff the multiplication by  $\epsilon : M/\epsilon M \rightarrow \epsilon M$  is injective. This is important in deformation theory.

**6.** (*Example of a non-flat morphism.*) Let

$$X = \text{Spec } k[x, y, z, w]/(xz, yz, xw, yw)$$

be the union of the  $(xy)$ -plane and  $(zw)$ -plane in  $\mathbb{A}^4$ . Let

$$\pi : X \rightarrow \mathbb{A}^2$$

be given by

$$k[s, t] \rightarrow k[x, y, z, w]/(xz, yz, xw, yw), \quad s \mapsto x + z, t \mapsto y + w.$$

Show that  $\pi$  is not flat. You may wish to check the definition directly.

Geometrically,  $X$  is a union of two planes intersecting at a point, and  $\pi$  maps these planes isomorphically onto  $\mathbb{A}^2$ . This example should be contrasted with the criterion for flatness discussed in class when the base is a smooth curve.

The final question is *optional*.

**7.** (*Some deformation theory. The Hilbert “scheme”.*)

Let

$$\mathcal{F} : (\text{Sch}) \rightarrow (\text{Set})$$

be a contravariant functor between the category of schemes and the category of sets.

Let  $X$  be an element of the set  $\mathcal{F}(\text{Spec } k)$ . The tangent space of  $\mathcal{F}$  at  $X$  is by definition the fiber over  $X$  of the natural map

$$\mathcal{F}(\mathbb{D}) \rightarrow \mathcal{F}(\text{Spec } k).$$

Here  $\mathbb{D} = \text{Spec } k[\epsilon]/(\epsilon^2)$ .

- (i) Let  $X$  be a variety over  $k$ . Recall that in the first few lectures of Math 203b we have defined the functor of points

$$h_X : (\text{Sch}) \rightarrow (\text{Set}), \quad S \mapsto \text{Mor}(S, X).$$

Note that  $h_X(\text{Spec } k) = X(k)$ . Show that the tangent space of the functor  $h_X$  at the point  $p \in X$  coincides with the Zariski tangent space  $T_{X,p}$ . This should be immediate provided you solved an older homework in Math 203b.

- (ii) The Hilbert functor

$$\mathcal{H} : (\text{Sch}) \rightarrow (\text{Sets})$$

is defined by assigning to each scheme  $S$ , flat families

$$\pi : \mathcal{X} \rightarrow S, \quad \mathcal{X} \subset S \times \mathbb{P}^r$$

up to isomorphism of  $S$ -schemes. Using problem 3(ii), convince yourself that  $\mathcal{H}$  is a contravariant functor.

- (iii) If  $X \subset \mathbb{P}^r$ , then the tangent space of the Hilbert functor at  $X$  is  $\text{Hom}_{\mathbb{P}^r}(\mathcal{I}_X, \mathcal{O}_X)$ . This tangent space parametrizes infinitesimal deformations of  $X$  inside  $\mathbb{P}^r$  i.e.  $\mathbb{D}$ -flat families

$$\mathcal{X} \rightarrow \mathbb{D}, \quad \mathcal{X} \subset \mathbb{P}^r \times \mathbb{D},$$

which restrict to  $X$ .

*Hint:* You should first treat the affine case, then glue. For the affine case, the problem can be rephrased as follows: given an ideal

$$\mathfrak{i} \subset A$$

find an ideal

$$\mathfrak{i}' \subset A' := A[\epsilon]/(\epsilon^2)$$

with  $A'/\mathfrak{i}'$  flat over  $D$ , such that

$$A'/\mathfrak{i}' \otimes_D k = A/\mathfrak{i}.$$

Show these ideals are classified by  $\text{Hom}_A(\mathfrak{i}, A/\mathfrak{i})$ . To this end, the criterion of flatness in Problem 5 gives the exactness of

$$0 \rightarrow A/\mathfrak{i} \rightarrow^{\times \epsilon} A'/\mathfrak{i}' \rightarrow A/\mathfrak{i} \rightarrow 0.$$

Use this as a first row of a natural square diagram and diagram chase.

If you get stuck, you can look this up in Hartshorne's deformation theory, but do write up your own solution.

(iii) In particular, if  $X$  is smooth, the tangent space can be expressed as

$$\text{Hom}_{\mathbb{P}^r}(\mathcal{I}_X, \mathcal{O}_X) = \text{Hom}_X(\mathcal{I}_X/I_X^2, \mathcal{O}_X) = \text{Hom}_X(N_{X/\mathbb{P}^r}^\vee, \mathcal{O}_X) = H^0(X, N_{X/\mathbb{P}^r}).$$

This is of course quite suggestive if you draw a picture.

It is a difficult result that the Hilbert functor is the functor of points of a certain scheme, called the Hilbert scheme. The above calculation therefore gives the tangent space to the Hilbert scheme, and it is useful to get an upper bound on the dimension.