

Math 220B - Lecture 13

February 28, 2024

## Last time

### Riemann Mapping Theorem

$U \neq \mathbb{C}$  simply connected  $\Rightarrow U$  is biholomorphic to  $\Delta$ .

### Extension to the boundary

Question Given  $f: U \rightarrow \Delta$  biholomorphism, does

it extend  $\bar{f}: \bar{U} \rightarrow \bar{\Delta}$  bicontinuously?

Answer  $\square$  yes if  $U$  bounded &  $\partial U =$  simple closed

curve.

Carathéodory's theorem

$\square$  We will not give the proof in this course.

# Beyond the boundary

Question Can we extend beyond the boundary?

The easiest instance is provided by

Schwarz Reflection Principle Conway IX. 1.

There are several versions but two stand out:

- 1) reflection across line segments (book)
- 2) reflection across circular arcs (HWK5).

## Applications

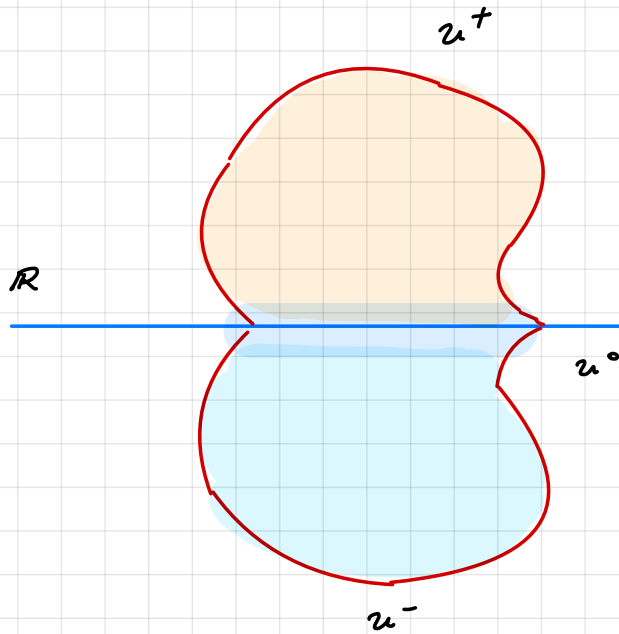
- 1) biholomorphic maps between rectangles,

annuli

- 2) analytic continuation...

## Reflection across segments

open  $U \subseteq \mathbb{C}$  symmetric  $z \rightarrow \bar{z}$   $\forall z \in U \Rightarrow \bar{z} \in U$ .



$$U^+ = U \cap \mathbb{H}^+$$

$$U^- = U \cap \mathbb{H}^-$$

$$U^0 = U \cap \mathbb{R}$$

Given  $f: U^+ \rightarrow \mathbb{C}$

**i** holomorphic in  $U^+$

**ii** extends continuously to  $U^0$ .

**iii** such that the values  $f(U^0) \subseteq \mathbb{R}$ .

Define

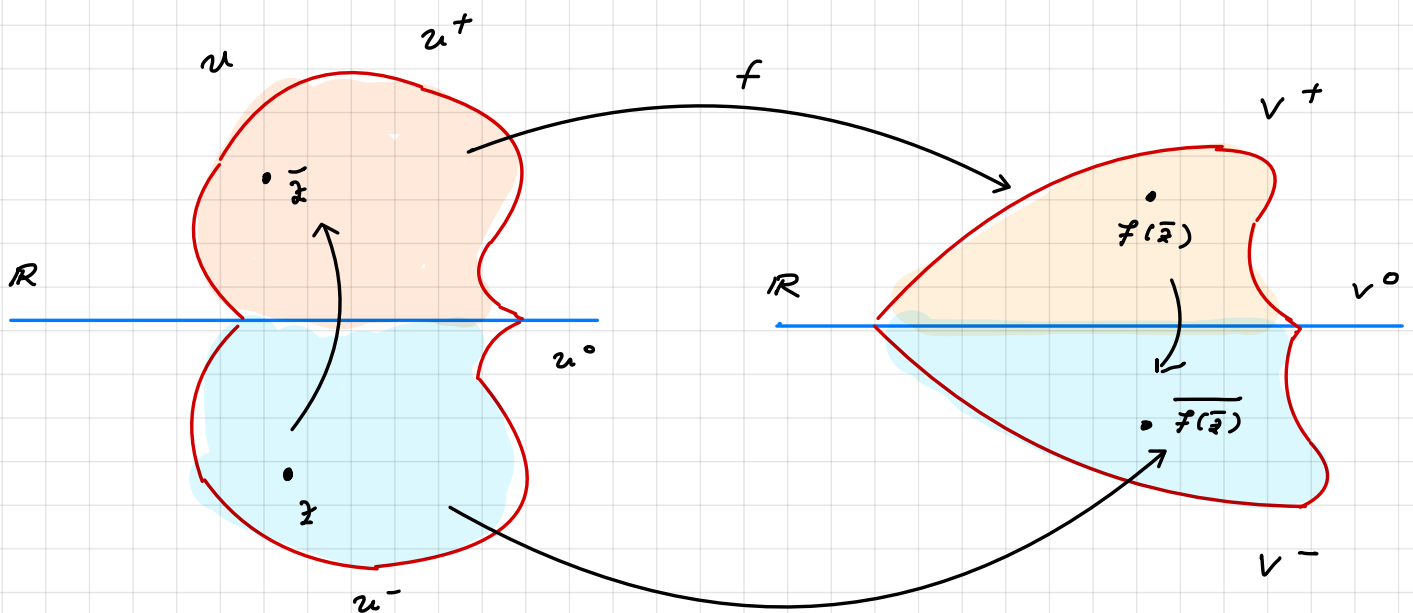
$$F(z) = \begin{cases} f(z) & \text{if } z \in U^+ \\ f(z) & \text{if } z \in U^0 \\ \overline{f(\bar{z})} & \text{if } z \in U^- \end{cases}$$

Theorem The function  $F: U \rightarrow \mathbb{C}$

is a holomorphic extension of  $f$  (beyond  $u^0$  which is part of the boundary).

Remarks

□ Visualization

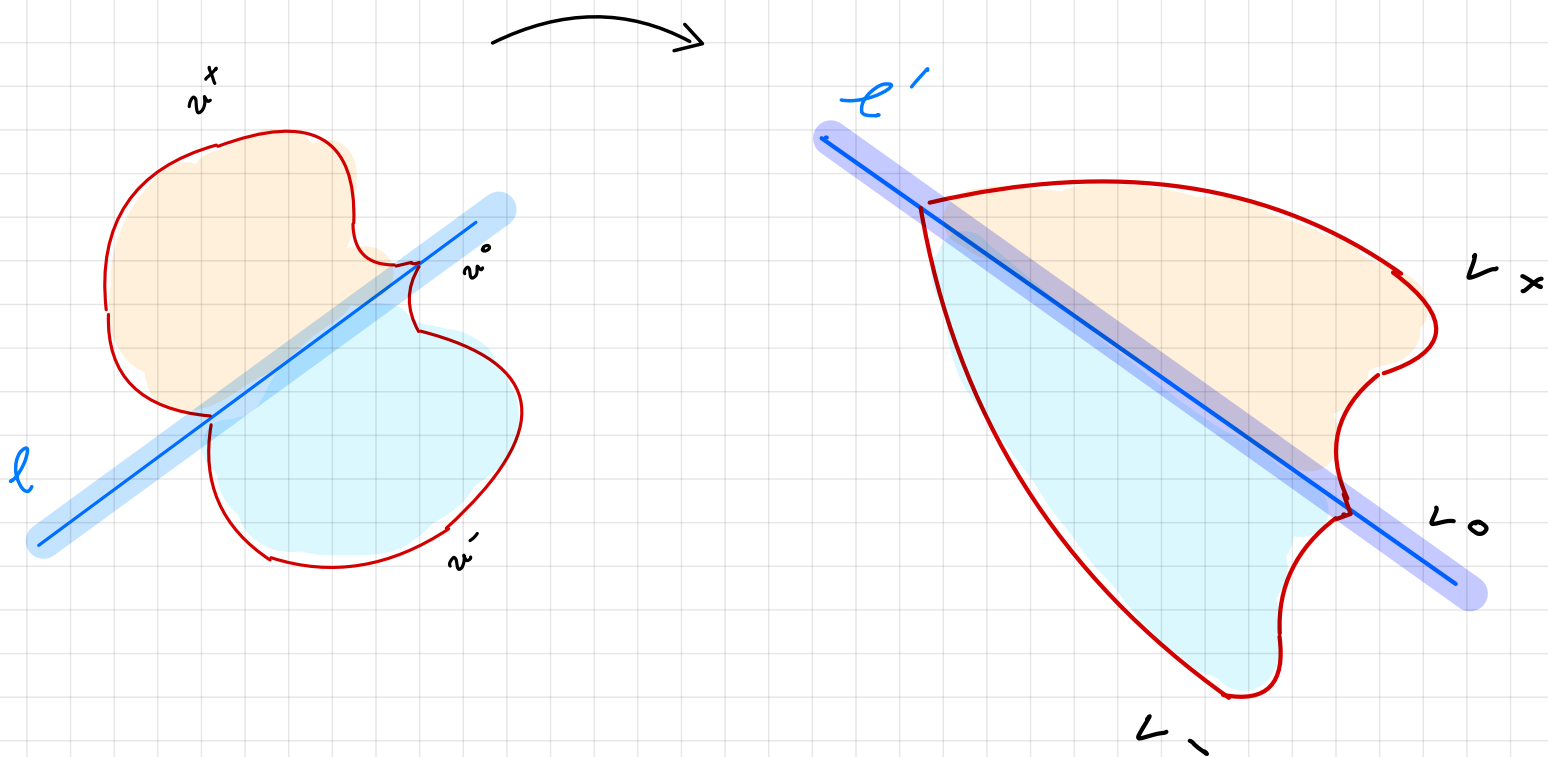


□ The condition

$$f(u_0) \subseteq \mathbb{R}$$

ensures we reflect across **real axis** on both sides.

More generally, we can reflect across **arbitrary lines**



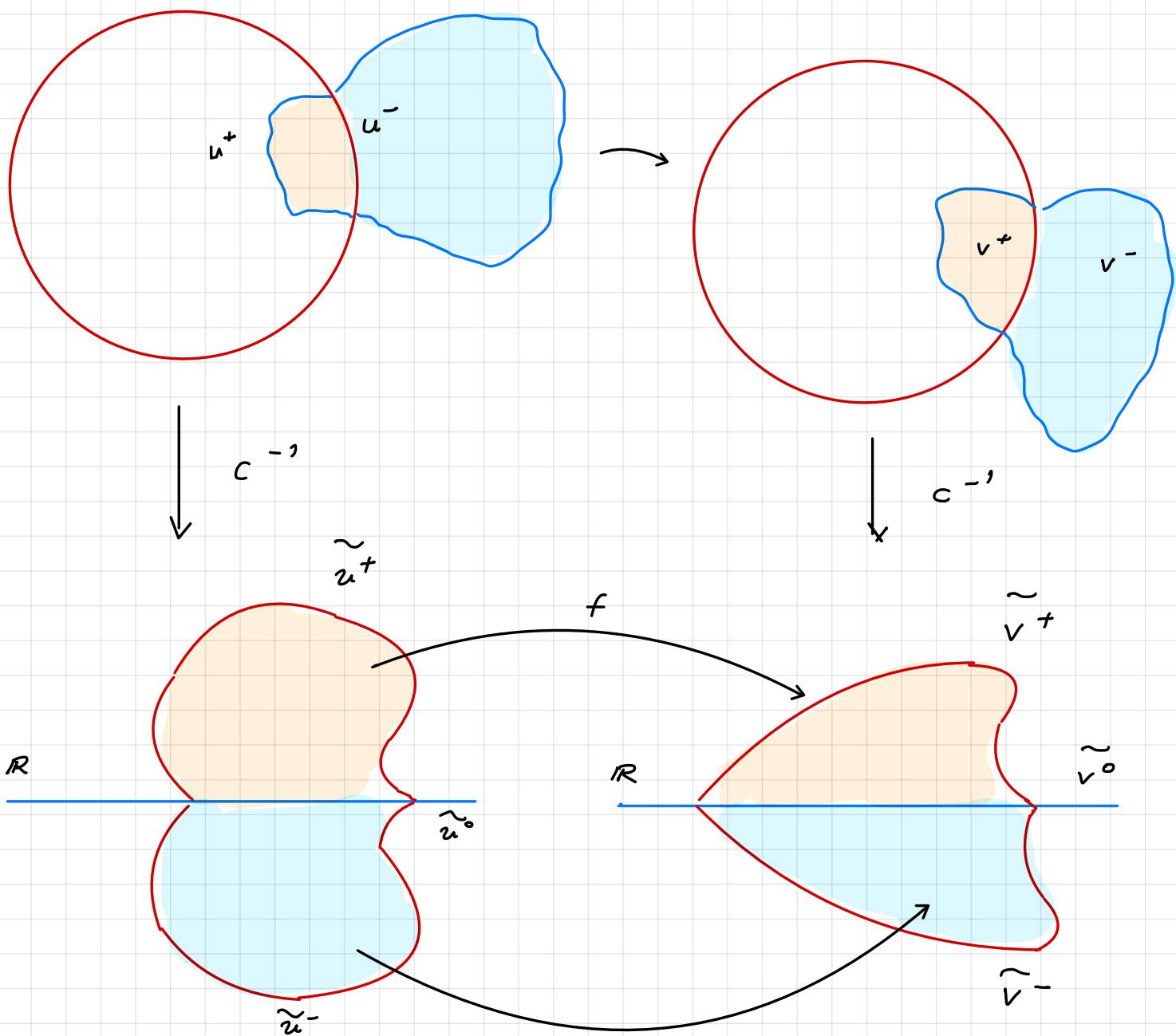
This can be deduced via rotations

116 Using the Cayley transform

$$c: \Delta \rightarrow \mathfrak{H}^+$$

We can also reflect across arcs in the unit disc.

(HWK 5).



## Proof of Schwarz

I  $F$  continuous

II  $F$  holomorphic in  $U^+$

III  $F$  holomorphic in  $U^-$

IV  $F$  holomorphic at points of  $U^0$ .

### Proof of I

Let  $z_0 \in U_0 \Rightarrow z_0 = \bar{z}_0$ .

$$\text{We show } \lim_{\substack{z \rightarrow z_0 \\ z \in U^+}} F(z) = \lim_{\substack{z \rightarrow z_0 \\ z \in U^-}} F(z).$$

$$\Leftrightarrow \lim_{\substack{z \rightarrow z_0 \\ z \in U^+}} f(z) = \lim_{\substack{z \rightarrow z_0 \\ z \in U^-}} \overline{f(\bar{z})}$$

$$\Leftrightarrow f(z_0) = \overline{f(\bar{z}_0)}$$

which holds since  $z_0 = \bar{z}_0$  &  $f(z_0) = \overline{f(z_0)}$



Proof of III We show  $F$  holomorphic in  $u^-$ .

Let  $c^- \in u^-$ . Let  $c^+ = \overline{c^-} \in u^+$ . Since  $f$  is holomorphic

at  $c^+ \Rightarrow \exists \Delta(c^+, r) \subseteq u^+$ . Taylor expand in  $\Delta(c^+, r)$ :

$$f(z) = \sum_{k=0}^{\infty} a_k (z - c^+)^k, \text{ radius of convergence } \geq r.$$

Let  $z \in \Delta(c^-, r) = \overline{\Delta(c^+, r)}$ . Then

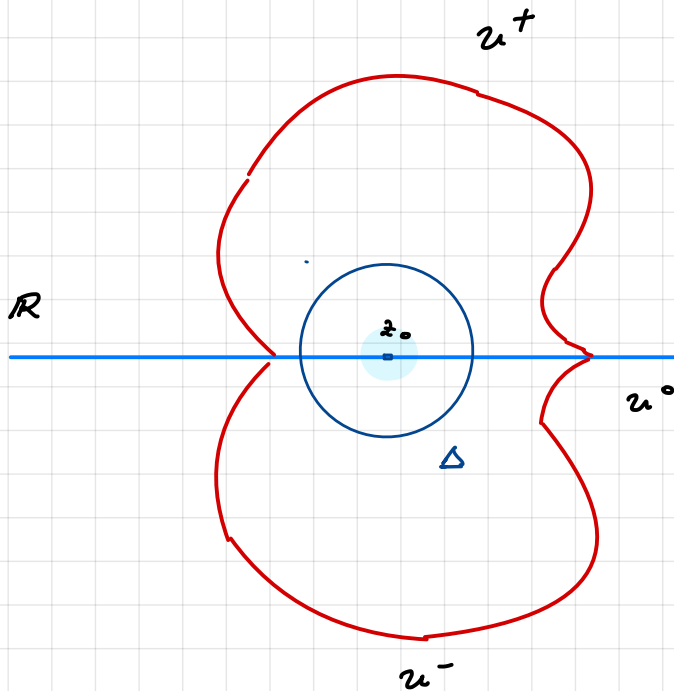
$$F(z) = \overline{f(\bar{z})} = \overline{\sum_{k=0}^{\infty} a_k (\bar{z} - c^+)^k}$$

$$= \sum_{k=0}^{\infty} \overline{a_k} (z - \overline{c^+})^k$$

$$= \sum_{k=0}^{\infty} \overline{a_k} (z - c^-)^k, \text{ radius of convergence } \geq r.$$

$\Rightarrow F$  holomorphic in  $u^-$ .

## Proof of (IV)

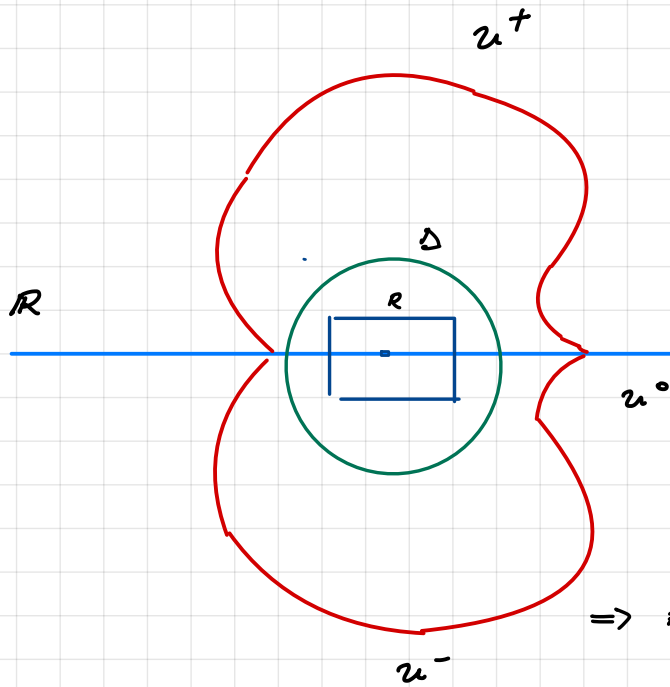


We show  $F$  is holomorphic

in discs  $z_0 \in \Delta \subseteq U$  for

arbitrary  $z_0 \in U$ .

This will complete the proof.



Goal  $\forall \bar{R} \subseteq \Delta$

$$\int_{\partial R} F dz = 0$$

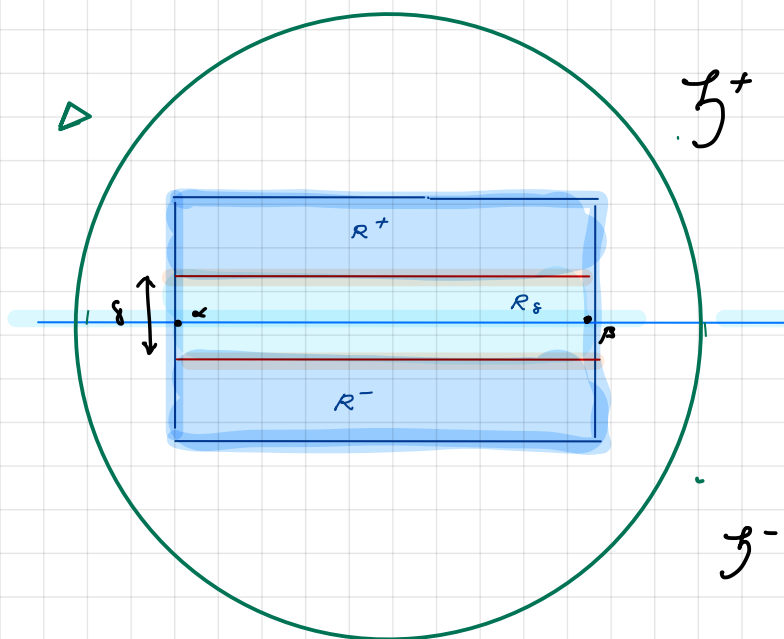
By Math 220A, Lecture 4

$\Rightarrow F = G'$  for some holomorphic  $G$  in  $\Delta$

$\Rightarrow F$  holomorphic in  $\Delta$ .

If  $\bar{R} \subseteq \mathcal{U}^+$  or  $\bar{R} \subseteq \mathcal{U}^-$  this is clear (Goursat / Cauchy).

Assume  $\bar{R}$  intersects the real axis. We assume that



the intersection is not a side of  $R$ . Otherwise

the argument is simpler.

We show  $\exists K > 0$  such that for all  $\varepsilon > 0$ ,

$$\left| \int_{\partial R} F dz \right| \leq K \cdot \varepsilon \implies \int_{\partial R} F dz = 0.$$

1.  $F$  continuous in  $\bar{\Delta} \implies |F(z)| \leq M$  for all  $z \in \bar{\Delta}$ .

11.  $F$  uniformly continuous in  $\bar{\Delta} = \text{compact}$ .

$$\implies \forall \varepsilon \exists \delta, |x - y| \leq \delta \implies |F(x) - F(y)| < \varepsilon.$$

We may assume  $\delta < \varepsilon$ .

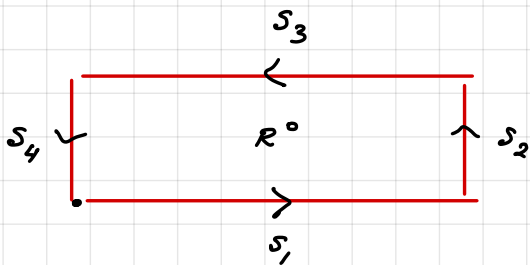
□ Construct  $R^+$ ,  $R^-$ ,  $R^0$  where  $R^+ \subseteq \mathcal{U}^+$ ,  $R^- \subseteq \mathcal{U}^-$

$$R^0 = [\alpha, \beta] \times \left[-\frac{\delta}{2}, \frac{\delta}{2}\right].$$

□  $\int_{\partial R^+} F dz = 0$ ,  $\int_{\partial R^-} F dz = 0$  by Goursat.

$$\Rightarrow \int_{\partial R} F dz = \int_{\partial R^0} F dz.$$

Estimates:



Sides of  $R^0$ :  $S_1, S_2, S_3, S_4$ .

$$\begin{aligned}
 (1) \quad \left| \int_{S_2} F dz + \int_{S_4} F dz \right| &\leq \left| \int_{S_2} F dz \right| + \left| \int_{S_4} F dz \right| \\
 &\leq M \cdot \underbrace{\text{length } S_2}_{\delta} + M \cdot \underbrace{\text{length } S_4}_{\delta} \\
 &= 2M\delta < 2M\varepsilon.
 \end{aligned}$$

$$\begin{aligned}
 (2) \quad \left| \int_{S_1} F dz + \int_{S_3} F dz \right| &\stackrel{\text{parametrize}}{\leq} \int_{\alpha}^{\beta} \left| F\left(t - \frac{i\delta}{2}\right) - F\left(t + \frac{i\delta}{2}\right) \right| dt \\
 &< \varepsilon \quad (\text{uniform continuity}). \\
 &\leq \varepsilon \cdot (\beta - \alpha) \leq \varepsilon \cdot \text{diam}(\Delta)
 \end{aligned}$$

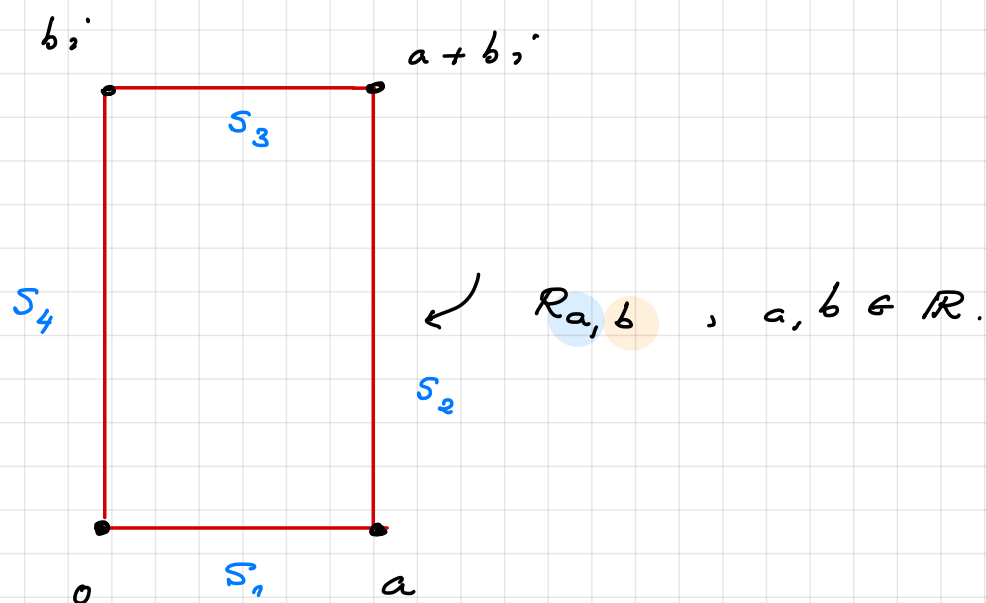
(1)+(2)

$$\begin{aligned}
 \Rightarrow \left| \int_{\partial R^0} F dz \right| &\leq \left| \int_{S_2} F dz \right| + \left| \int_{S_4} F dz \right| + \left| \int_{S_1} F dz \right| + \left| \int_{S_3} F dz \right| \\
 &\leq 2M\varepsilon + \varepsilon \cdot \text{diam}(\Delta) = K\varepsilon.
 \end{aligned}$$

This completes the proof.

## 2. Application

### Conformal maps of rectangles



### Example

$\exists$  biholomorphism  $f: R_{a,b} \rightarrow R_{a',b'}$  such that

(i)  $f$  extends continuously & bijectively to the boundary.

(ii) sending corners to corners & edges to edges.

IF AND ONLY IF

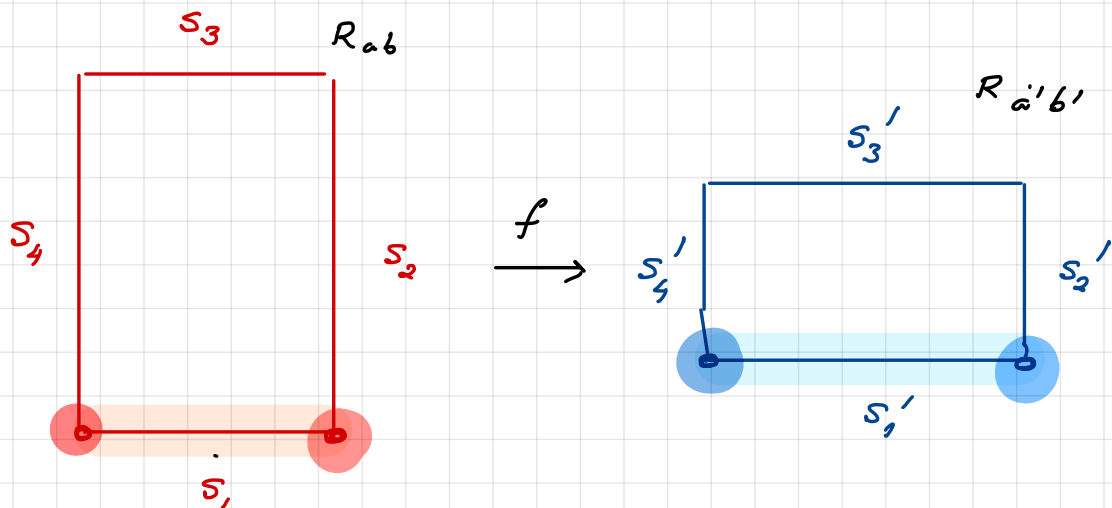
$$\frac{a'}{a} = \pm \frac{b'}{b} \quad \text{or} \quad aa' = \pm bb'$$

Remark Condition  $\text{ii}$  is automatic by Carathéodory.

while condition  $\text{iii}$  is really necessary.

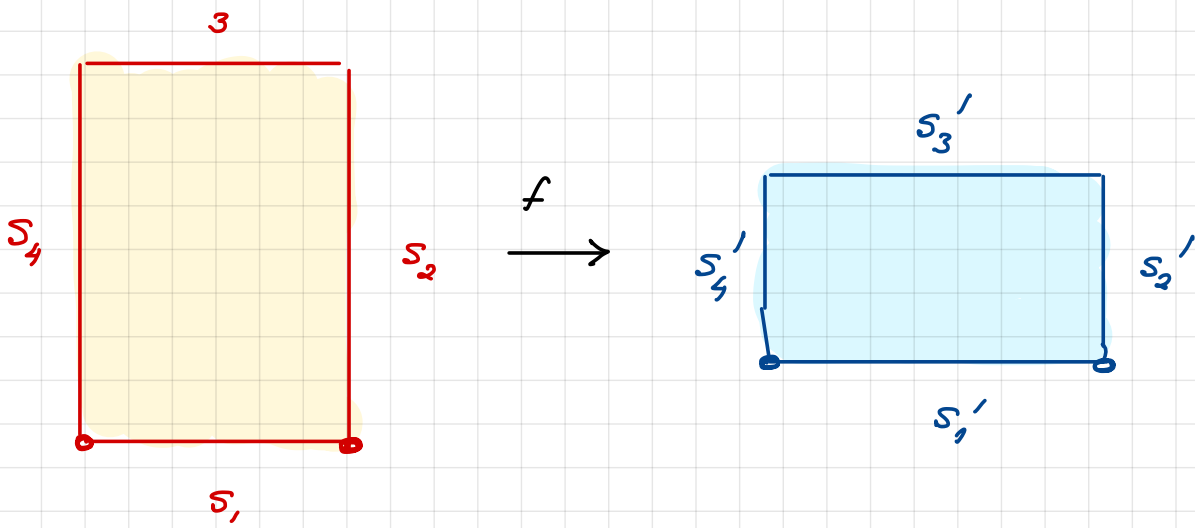
We first assume

$$f: S_1 \longrightarrow S_1', \quad 0 \longrightarrow 0', \quad a \longrightarrow a'.$$

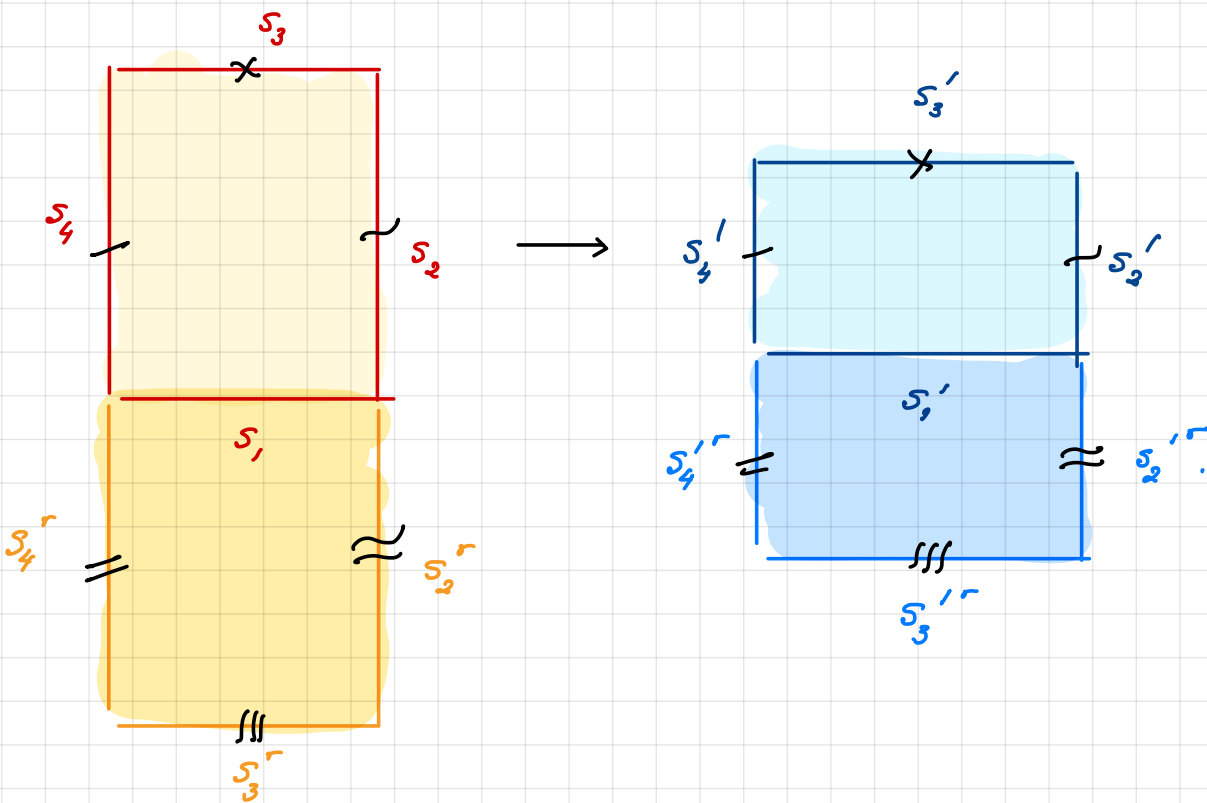


$$f(0) = 0', \quad f(a) = a'$$

- $S_4$  is sent to a side containing  $f(0) = 0'$ , hence  $S_4'$
- $S_2$  is sent to a side containing  $f(a) = a'$ , hence  $S_2'$
- $S_3$  is sent to the remaining side  $S_3'$



We use Schwarz Reflection along  $s_1$  &  $s_1'$ .



Note

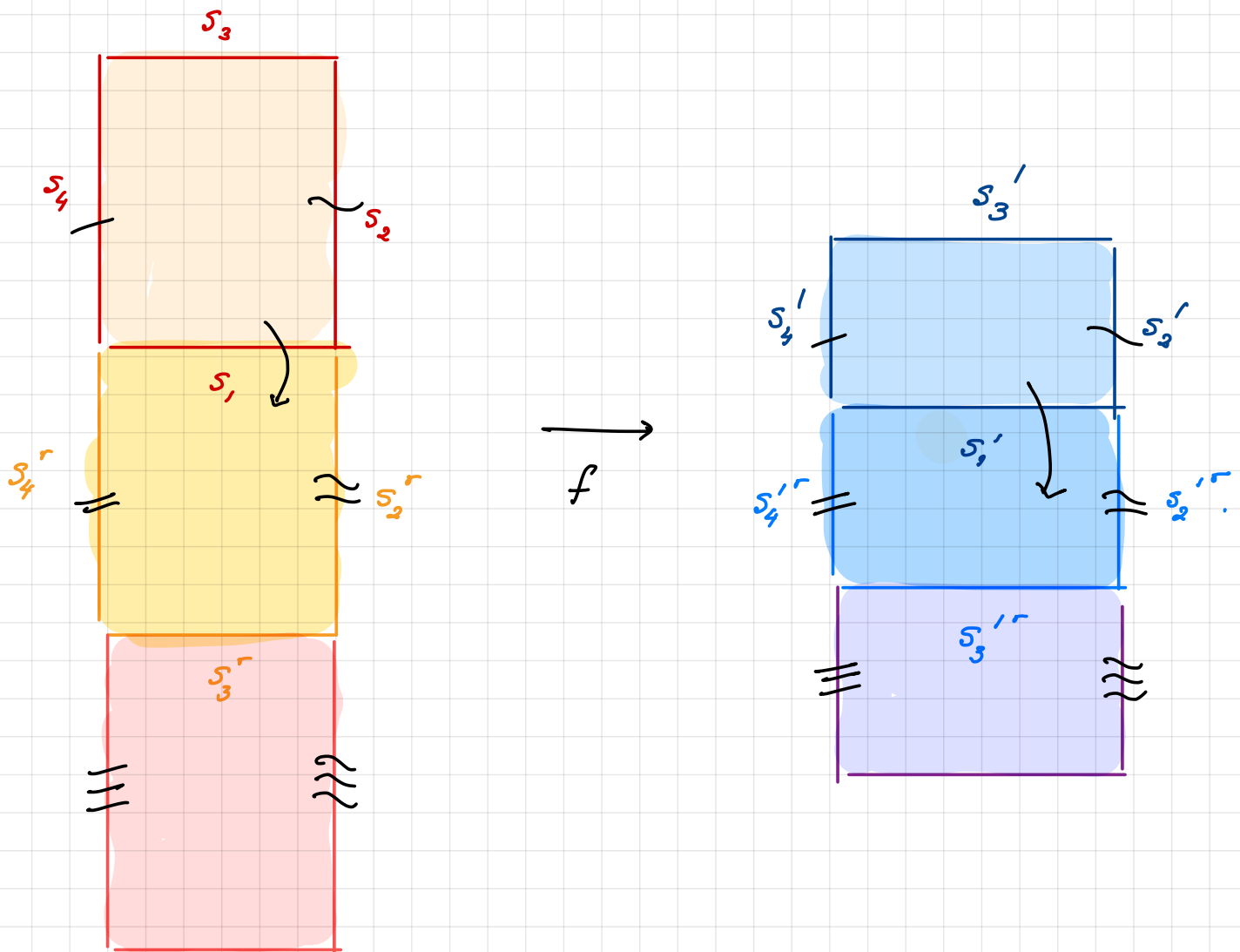
$$s_4^r \rightarrow s_4'^r, \quad s_2^r \rightarrow s_2'^r, \quad s_3^r \rightarrow s_3'^r.$$

from the explicit formula for the extension

The extension is still bijective. (as the picture shows).

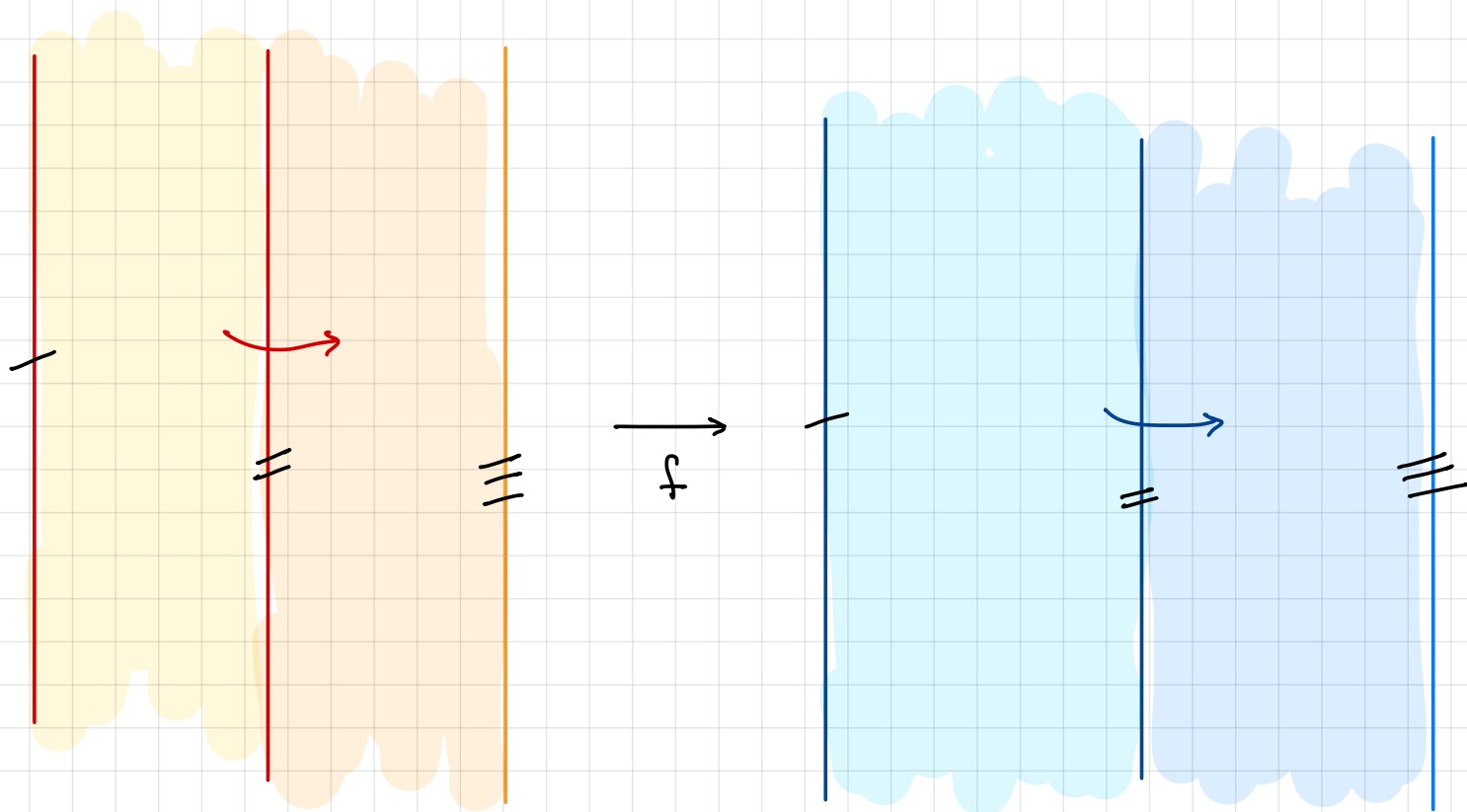


Reflect the new rectangle one more time, across  $S_3^r$  &  $S_3'^r$ .



and continue until we get two strips mapping to each other & their boundaries are mapped to each other.

Now reflect the strips across their sides.



In the end, we obtain  $f: \mathbb{C} \rightarrow \mathbb{C}$  bijective & holomorphic.

We saw in Math 220A, PSet 5 that  $f(z) = \alpha z + \beta$ .

Since  $f(0) = 0 \Rightarrow \beta = 0 \Rightarrow f(z) = \alpha z$ .

$$f(a) = a' \Rightarrow \alpha a = a'$$

$$f(b) = b' \Rightarrow \alpha b = b'$$

$$\Rightarrow \frac{a'}{a} = \frac{b'}{b}$$

The remaining cases are similar.