

Lecture 1: Uniformization.

M is a smooth closed surface with metric g . The area element is dA and the Gauss curvature is K . We are seeking $u \in C^\infty(M)$ so that $e^{2u}g$ has constant curvature. If this curvature is C , we wish to solve

$$\Delta u - K = -Ce^{2u}.$$

Today we will study the case

$$\int_M K dA < 0.$$

First by rescaling the metric we can assume that the average curvature is -1 . Indeed, if $\alpha > 0$ is constant and K_α is the curvature for α^2g , then $K_\alpha = \alpha^{-1}K$ and $dA_\alpha = \alpha^2dA$. Hence

$$\frac{1}{A_\alpha} \int_M K_\alpha dA_\alpha = \frac{1}{\alpha^2} \frac{1}{A} \int_M K dA.$$

Hence we start by assuming

$$\frac{1}{A} \int_M K dA = -1,$$

Now we solve

$$(1) \quad \Delta u - K = e^{2u},$$

Uniqueness. (Energy method). Suppose that u, u' are two solutions to (1) and consider $u - u'$ which solves

$$\Delta(u' - u) = e^{2u'} - e^{2u}.$$

Then multiplying by $u' - u$ and integrating over M , we have

$$\int_M (u' - u)\Delta(u' - u) dA = \int_M (u' - u)(e^{2u'} - e^{2u}) dA.$$

However, the left hand side is ≤ 0 and the right hand side is ≥ 0 , so both sides equal zero.

Now we set $f = K + 1$, so that f has average value 0. We re-write (1):

$$(2) \quad \Delta u - e^{2u} + 1 = f.$$

Inverse function theorem. Suppose that X and Y are Banach spaces. We say that

$$f : X \rightarrow Y$$

is a differentiable map if for each $x_0 \in X$, there exists a bounded linear map $D_{x_0}f : X \rightarrow Y$ such that

$$\sup_{0 < \|u\| \leq \varepsilon} \frac{\|f(x_0 + u) - f(x_0) - (D_{x_0}f)u\|}{\|u\|} \rightarrow 0, \quad \text{as } \varepsilon \rightarrow 0.$$

We say that f is C^1 , if it is differentiable and moreover, for each fixed x_0 ,

$$\|D_x f - D_{x_0} f\| \rightarrow 0, \quad \text{as } x \rightarrow x_0 \text{ in } X,$$

where the norm on the left is the operator norm.

Theorem. *If $f : X \rightarrow Y$ is C^1 and $f(x_0) = y_0$ and $D_{x_0}f$ is a linear isomorphism, then there exists a neighborhood V of y_0 in Y and a neighborhood U of x_0 such that $f|_U$ maps U onto V and has a C^1 inverse.*

Exercise. In dimension $n = 2$, if $k \geq 2$ then $H^k(M)$ is a Banach Algebra, *i.e.* there exists C such that

$$\|uv\|_{H^k(M)} \leq C\|u\|_{H^k(M)}\|v\|_{H^k(M)}.$$

Now we will apply the inverse function theorem to

$$F : H^k \rightarrow H^{k-2},$$

where $k \geq 2$, defined by

$$F(u) = \Delta u - e^{2u} + 1.$$

To find the linearized operator we look at

$$\begin{aligned} F(u+h) - F(u) &= \Delta h - e^{2u} + e^{2(u+h)} - e^{2u} = \Delta h - e^{2u}(e^{2h} - 1) \\ &= (\Delta - 2e^{2u})h + e^{2u}(e^{2h} - 1 - 2h). \end{aligned}$$

Because of H^k is a Banach algebra we see that for $u \in H^k(M)$ fixed,

$$D_u F := \Delta - 2e^u$$

is a bounded linear map, and for $\|h\| < 1$,

$$\|e^{2u}(e^{2h} - 1 - h)\|_{H^{k-2}(M)} \leq \|e^{2u}(e^{2h} - 1 - h)\|_{H^k(M)} \leq C\|e^{2u}\|_{H^k(M)}\|h\|_{H^k(M)}^2.$$

Hence F is differentiable and $D_u F = \Delta - 2e^{2u}$. Moreover, F is C^1 because

$$\begin{aligned} \|(D_u F - D_{u+h} F)v\|_{H^{k-2}(M)} &= 2\|(e^{2u} - e^{2(u+h)})v\|_{H^{k-2}(M)} \\ &\leq 2\|e^{2u}\|_{H^k(M)}\|1 - e^{2h}\|_{H^k(M)}\|v\|_{H^k(M)}. \end{aligned}$$

Hence

$$\|(D_u F - D_{u+h} F)\| \leq C\|1 - e^{2h}\|_{H^k(M)} \rightarrow 0, \quad \text{as } h \rightarrow 0 \text{ in } X.$$

We need to check that $D_u F = \Delta - 2e^{2u}$ is a linear isomorphism to apply the inverse function theorem. Actually we only stated the elliptic regularity when the coefficients are smooth, but it works fine with H^k coefficients when H^k is a Banach algebra. We need to show that $D_u F$ has trivial kernel. But this holds because

$$\int_M v(\Delta - 2e^{2u})v \, dA = - \int_M \langle \nabla v, \nabla v \rangle \, dA - 2 \int_M e^{2u} v^2 \, dA \leq 0,$$

with equality if and only if $v = 0$. Here, we use the fact that

$$\int_M v \Delta v \, dA = - \int_M \langle \nabla v, \nabla v \rangle$$

provided $v \in H^2$. This is proved by writing v as a limit of C^∞ functions.

Continuity. We consider the set $S \subset [0, 1]$ such that $F(u) = tf$ has a solution. Then $0 \in S$ since $F(0) = 0$. The inverse function theorem implies that S is open, since if $F(u_t) = tf$, then there exists an $H^{k-2}(M)$ neighborhood of tf on which the equation has a solution. If we can just prove that S is closed, then we can conclude that $S = [0, 1]$ and the original equation has a solution. What we need to show then is the following:

Suppose that $f \in C^\infty(M)$ has average value zero, and $t_n \rightarrow t_*$ in $[0, 1]$. Suppose that we have a sequence of functions u_n such that $F(u_n) = t_n f$. Then u_n converges to u in $C^\infty(M)$, and $F(u) = t_* f$.

To prove this we need **A priori bounds**.