

last time: sequence  $(a_n) \rightarrow \text{EGF } A(x) = \sum_{n \geq 0} \frac{a_n}{n!} x^n$

$$\text{product } A(x) B(x) = \sum_{n \geq 0} \frac{c_n}{n!} x^n$$

where  $c_n = \#$  ways to choose subset  $S \subseteq [n]$   
and put structure  $\alpha$  (counted by  $a_i$ ) on  $S$   
structure  $\beta$  (counted by  $b_j$ ) on  $[n] \setminus S$ .

EX. Consider  $a_n = 1$  for  $n > 0$   
 $a_0 = 0$

$$A(x) = \sum_{n > 0} \frac{a_n}{n!} x^n = e^x - 1$$

$$A(x)A(x) = \sum_{n \geq 0} \frac{c_n}{n!} x^n \quad \text{where}$$

$c_n = \#$  ways to pick  $S \subseteq [n]$  s.t.  
 $S \neq \emptyset$  &  $[n] \setminus S \neq \emptyset$   
= ordered set partitions of  $[n]$  into 2 blocks  
=  $2! \cdot S(n, 2)$

More generally,

$$A(x)^k = \sum_{n \geq 0} \frac{c_n}{n!} x^n \quad \text{where}$$

$c_n = \#$  ways to pick subsets  $S_1, \dots, S_k \subseteq [n]$  s.t.  
each  $S_i \neq \emptyset$ ,  $S_1 \cup \dots \cup S_k = [n]$   
 $S_i$ 's don't overlap.

=  $\#$  ordered set partitions of  $[n]$  into  $k$  blocks  
=  $k! \cdot S(n, k)$

$$\Rightarrow \sum_{n \geq 0} k! S(n, k) \frac{x^n}{n!} = A(x)^k = (e^x - 1)^k$$

$$\sum_{n \geq 0} \frac{S(n, k)}{n!} x^n = \frac{(e^x - 1)^k}{k!}$$

Bell numbers:  $B(n) = \# \text{ set partitions of } [n]$

$$= \sum_{k \geq 0} S(n, k)$$

$$\begin{aligned} \sum_{n \geq 0} B(n) \frac{x^n}{n!} &= \sum_{n \geq 0} \sum_{k \geq 0} S(n, k) \frac{x^n}{n!} = \sum_{k \geq 0} \sum_{n \geq 0} S(n, k) \frac{x^n}{n!} \\ &= \sum_{k \geq 0} \frac{(e^x - 1)^k}{k!} = e^{e^x - 1} = \exp(e^x - 1). \end{aligned}$$


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Compositions of EGF:

Let  $a_n$  be sequence s.t.  $a_0 = 0$

Think of  $a_n = \#$  structures of type  $\alpha$  on set of size  $n$ .

Let  $h_n = \#$  ways to pick set partition of  $[n]$  and put structure  $\alpha$  on each block.

Thm (Exponential formula)

$$\text{Let } A(x) = \sum_{n \geq 0} \frac{a_n}{n!} x^n, \quad H(x) = \sum_{n \geq 0} \frac{h_n}{n!} x^n.$$

$$\text{Then } H(x) = \exp(A(x)) = e^{A(x)}.$$

PF write  $A(x)^k = \sum_{n \geq 0} \frac{C_n}{n!} x^n$

Then  $C_n = \#$  ways to pick  $k$  subsets  $S_1, \dots, S_k$   
 s.t.  $S_1 \cup \dots \cup S_k = [n]$ ,  $S_i$ 's don't overlap,  
 we put structure  $\alpha$  on each  $S_i$ .

Since  $a_0 = 0$ , these sets must be nonempty.

$\Rightarrow \frac{C_n}{k!} = \#$  ways to pick set partition of  $[n]$   
 into  $k$  blocks and put structure  $\alpha$  on  
 each block

$\Rightarrow \sum_{k \geq 0} \frac{A(x)^k}{k!} = \sum_{n \geq 0} \frac{h_n}{n!} x^n$

$\exp(A(x))$

□

Prop. If  $H(x) = e^{A(x)}$ , then

$H'(x) = H(x) A'(x)$ .

EX. A bijective function  $f: S \rightarrow S$  is an  
involution if  $f \circ f = \text{id}$  (equiv,  $f^{-1} = f$ )

How many involutions on a set of size  $n$ ? Call this  $h_n$ .

Another perspective: involution is same as writing  $S$  as disjoint union of subsets all of size 1 or 2.

Ex.  $S = \{1, 2, 3, 4, 5, 6\}$

|            |            |
|------------|------------|
| $f(1) = 1$ | $f(4) = 6$ |
| $f(2) = 3$ | $f(5) = 5$ |
| $f(3) = 2$ | $f(6) = 4$ |



$a_n = \begin{cases} 1 & \text{if } n=1, 2 \\ 0 & \text{else} \end{cases}$  = counts structure which select for size 1 or 2 sets.

$A(x) = \sum_{n \geq 0} \frac{a_n}{n!} x^n = x + \frac{x^2}{2}$ , Define  $H(x) = \sum_{n \geq 0} \frac{h_n}{n!} x^n$

Exp. formula:  $H(x) = e^{A(x)} = e^{x + \frac{x^2}{2}}$

Take derivative:  $H'(x) = H(x) A'(x) = H(x) (1+x)$

$\sum_{n \geq 0} \frac{n h_n}{n!} x^{n-1} = \left( \sum_{n \geq 0} \frac{h_n}{n!} x^n \right) (1+x)$

Take coeff. of  $x^r$  on both sides (assume  $r \geq 1$ ):

$$\frac{(r+1) h_{r+1}}{(r+1)!} = \frac{h_r}{r!} + \frac{h_{r-1}}{(r-1)!}$$

multiply by  $r!$ :  $h_{r+1} = h_r + r \cdot h_{r-1}$  for  $r \geq 1$

initial values:  $h_0 = 1$   
 $h_1 = 1$

Interpreting  $h_{r+1} = h_r + r h_{r-1}$ :

Two types of involutions  $f$  of  $[r+1]$ :

Type I:  $f(r+1) = r+1$ . # = involutions on  $[r] = h_r$

Type II:  $f(r+1) \neq r+1$ .  $r$  choices for  $f(r+1)$   
+ choose involution on  $[r] \setminus \{\text{choice}\}$   
 $= r \cdot h_{r-1}$ .

Ex. Let  $h_n =$  # ways to break  $n$  people into nonempty groups and cyclically order each group

$$H(x) = \sum_{n \geq 0} \frac{h_n}{n!} x^n.$$

Let  $a_n =$  # ways to cyclically order  $n$  things if  $n > 0$ .

$$= \begin{cases} 0 & \text{if } n=0 \\ (n-1)! & \text{if } n > 0 \end{cases}$$

Define  $A(x) = \sum_{n \geq 1} \frac{(n-1)!}{n!} x^n = \sum_{n \geq 1} \frac{x^n}{n}$

$$\begin{aligned} A'(x) &= \sum_{n \geq 1} \frac{n x^{n-1}}{n} \\ &= \sum_{n \geq 1} x^{n-1} \\ &= \frac{1}{1-x} \end{aligned}$$

Exp. formula:  $H(x) = \exp(A(x))$ ,

Take derivative:  $H'(x) = H(x) A'(x)$

$$(1-x) H'(x) = H(x)$$

$$(1-x) \sum_{n \geq 0} \frac{n h_n}{n!} x^{n-1} = \sum_{n \geq 0} \frac{h_n}{n!} x^n$$

$$\sum_{n \geq 1} \frac{h_n}{(n-1)!} x^{n-1} - \sum_{n \geq 1} \frac{h_n}{(n-1)!} x^n$$

Take coeff. of  $x^r$ :

$$\frac{h_{r+1}}{r!} - \frac{h_r}{(r-1)!} = \frac{h_r}{r!}$$

multiply by  $r!$ :  $h_{r+1} - r h_r = h_r$

$$h_{r+1} = (r+1) h_r \quad r \geq 1, \quad h_0 = 1$$

$$\Rightarrow h_r = r!$$

Cyclic ordering:

claim we have bijection:

$$\left\{ \begin{array}{l} \text{cyclic orderings} \\ \text{on } [n] \end{array} \right\} \times [n] \xrightarrow{f} \left\{ \begin{array}{l} \text{permutations} \\ \text{of } [n] \end{array} \right\}$$

$\sigma$   
 $\downarrow$   
sequence  $(\sigma(1), \sigma(2), \dots, \sigma(n))$

$$g(\sigma) = \left( \begin{array}{c} \sigma(n) \quad \sigma(1) \\ \sigma(2) \\ \sigma(3) \\ \dots \end{array} \right)$$

general compositions of EGF:

$a_n$  = number of ways to put structure  $\alpha$  on set of size  $n$ .

Assume  $a_0 = 0$ .

$b_k$  = number of ways to put structure  $\beta$  on set of size  $k$ .

$h_n$  = number of ways to pick set partition of  $[n]$ ,  
put structure  $\alpha$  on each block +  
put structure  $\beta$  on set of blocks

Define  $A(x) = \sum_{n \geq 0} \frac{a_n}{n!} x^n$ ,  $B(x) = \sum_{n \geq 0} \frac{b_n}{n!} x^n$ ,  $H(x) = \sum_{n \geq 0} \frac{h_n}{n!} x^n$ .

Thm (Composition formula, exponential version)

$$H(x) = B(A(x)).$$

Cayley's formula for # labeled trees.

Def.  $S =$  set,  $A$  labeled (simple) graph on  $S$   
 is a collection of two-element subsets of  $S$ .

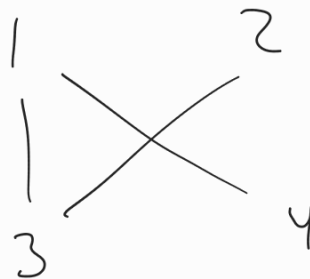
$S =$  "vertices"

the two-element subsets = "edges".

Visualize: Draw elements of  $S$  as points  
 Draw lines joining  $i, j$  if  $\{i, j\}$  is an edge

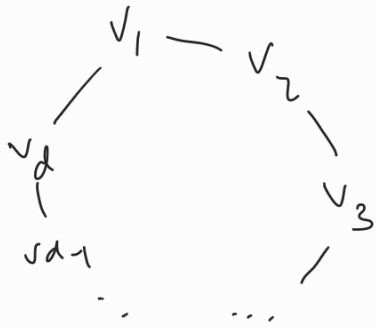
$$S = \{1, 2, 3, 4\}.$$

$$\text{edges: } \{1, 3\}, \{1, 4\}, \{2, 3\}$$



$$\begin{aligned} \# \text{ labeled graphs on set of size } n \\ = 2^{\binom{n}{2}} \end{aligned}$$

Def. A cycle in a labeled graph is a sequence  $v_1, v_2, \dots, v_d$  <sup>( $d \geq 3$ )</sup> st. all  $v_i$  distinct,  $\{v_i, v_{i+1}\}$  is an edge for  $i=1, \dots, d-1$  and  $\{v_d, v_1\}$  is an edge.



A labeled forest is a labeled graph w/ no cycles.

A labeled tree is a labeled forest which is connected (i.e., for any two vertices, there is a way to get from one to the other by following edges)

Let  $t_n = \#$  labeled trees w/  $n$  vertices.

Thm (Cayley).  $t_n = n^{n-2}$ .

Ex.  $n=1$ .



$$t_1 = 1^{-1} = 1$$

$n=2$



$$t_2 = 2^0 = 1$$

$n=3$ :  $\textcircled{1} - \textcircled{3} - \textcircled{2} = \{1,3\}, \{2,3\}$

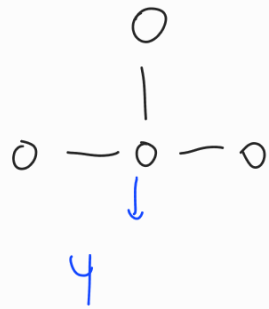


$$t_3 = 3^1 = 3$$





$n=4$ .



$4!/2 = 12$   
//

$t_4 = 4^2 = 16$

