

# Concentration of the chromatic number of sparse random graphs

Lutz Warnke

UC San Diego

Joint work with Erlang Surya  
(who created most of these slides)

## Context and Main Question

- **Random graph**  $G_{n,p}$ :  $n$ -vertex graph where each of  $\binom{n}{2}$  possible edges included independently with probability  $p$
- **Chromatic number**  $\chi(G)$ : minimum number of colors needed to color vertices of  $G$  s.t. no two adjacent vertices have same color

### Main Question

Suppose there is an interval of length  $\ell(n, p)$  that contains chromatic number  $\chi(G_{n,p})$  with high probability. How small can  $\ell(n, p)$  be?

## Past Results: constant $p$

### Bollobas 1988

For constant edge-probability  $p \in (0, 1)$ , whp

$$\chi(G_{n,p}) = (1 + o(1)) \frac{n}{2 \log_{1/(1-p)} n}.$$

So  $\ell(n, p) = o(n / \log_b n)$ .

- **Lower bound:** Show largest ISET is of size  $(2 + o(1)) \log_{1/(1-p)} n$ .
- **Upper bound:** Repeatedly pull out ISET of size  $2 \log_{1/(1-p)} n$  until  $O(\sqrt{n} / \log n)$  vertices are left (via Janson's inequality).

## Past Results: all $p$

### Shamir and Spencer 1987

- $\ell(n, p) \leq \omega\sqrt{n}$  for any  $p(n)$ ,
- $\ell(n, p) \leq \omega\sqrt{np} \log n$  for  $p = n^{-\alpha}$ ,  $\alpha \in (0, 1/2)$

- **Basic-Idea:** Via Martingale argument to show that whp there exists  $\Lambda \geq 0$ ,  $Z \subseteq V$ ,  $|Z| \leq \omega\sqrt{n}$

$$\Lambda \leq \chi(G_{n,p}) \leq \Lambda + \chi(G_{n,p}[Z])$$

- For  $p = n^{-\alpha}$ , easy to remove extra  $\log n$  term with modern argument
- **Key-Task:** argue that  $G_{n,p}[Z]$  is sparse

## Past Results

log improvement by Alon (and later independently by Scott)

For  $p \in [0, 1]$  constant,  $\ell(n, p) \leq \omega \sqrt{n} / \log n$

- **Idea:** Repeatedly remove ISET of size  $\Theta(\log n)$  from  $G_{n,p}[Z]$
- If we use Janson's inequality to pull out the ISET, this only works until  $p = n^{-\alpha}$  for some small  $\alpha > 0$

Alon and Krivelevich

Let  $\epsilon > 0$ . If  $p \leq n^{-1/2-\epsilon}$ , then  $\ell(n, p) \leq 2$

## New result: Sparse case $p = o(1)$

Surya and Warnke (2022+)

Let  $\epsilon > 0$ . If  $p \geq n^{-1/2+\epsilon}$ , then

$$\ell(n, p) \leq \frac{\omega \sqrt{np}}{\log n}$$

- Use *density argument* instead of large deviation inequalities

## More detailed statement: Sparse case $p = o(1)$

### Surya and Warnke (2022+)

- If  $\omega\sqrt{np} \gg \log n$ , then

$$\ell(n, p) = O\left(\frac{\omega\sqrt{np}}{\log(\omega\sqrt{np}/\log n)}\right)$$

- If  $\omega\sqrt{np} \ll \log n$ , then

$$\ell(n, p) = O\left(\frac{\log n}{\log(\log n/(\omega\sqrt{np}))}\right)$$

- If  $p = n^{-\alpha}$ ,  $\alpha \in (0, 1/2)$  we have  $\ell(n, p) = O\left(\frac{\omega\sqrt{np}}{\log n}\right)$ , extending log improvement of Alon.
- Match the best known upper bound up to some constant factor when  $p$  constant and  $p \leq n^{-1/2-\epsilon}$

# Key Ingredient: Greedy Algorithm

Will focus on controlling  $\chi(G_{n,p}[Z])$ .

**We use greedy algorithm in two ways**, *exploiting small degree vertices*:

- Pull out largest independent sets until  $O(\frac{\log n}{p})$  vertices are left, which will have typical size  $\simeq O(\log(\omega\sqrt{np}/\log n)/p)$ .
  - ▶ *Refined analysis*: as fewer vertices remain, the independent sets get smaller (exploit that few vertices remain).
- Pick the minimum degree vertex among the remaining vertices, which will have degree  $O(\log n)$ .

**Chernoff bound + Union bound**: small degree conditions holds whp



# Greedy Lemmas

To iteratively pull out largest independent set (until few vertices remain):

## Large independent sets: greedy bound

Given graph  $G$  and  $0 < d < 1 < u$  with  $\delta(G[S]) \leq d(|S| - 1)$  for all  $S \subseteq V(G)$  of size  $|S| \geq u$ . Then

$$\alpha(G[W]) \geq -\log_{(1-d)(1-1/u)}(|W|/u)$$

for any  $W \subseteq V(G)$  of size  $|W| \geq u$ .

To color the remaining  $O(\log n/p)$  vertices:

## Chromatic number: greedy bound

Given a graph  $G$  with  $\delta(G[S]) \leq r$  for all  $S \subseteq V(G)$ . Then

$$\chi(G) \leq r + 1$$

## Large independent sets: greedy bound

Given graph  $G$  and  $0 < d < 1 < u$  with  $\delta(G[S]) \leq d(|S| - 1)$  for all  $S \subseteq V(G)$  of size  $|S| \geq u$ . Then  $\alpha(G[W]) \geq -\log_{(1-d)(1-1/u)}(|W|/u)$  for any  $W \subseteq V(G)$  of size  $|W| \geq u$ .

Construct independent set greedily: set  $W_0 = W$  and, for  $i \geq 1$ , pick  $w_i \in W_{i-1}$  with minimal degree in  $G[W_{i-1}]$  and set

$$W_i = \{v \in W_{i-1} : v \text{ not adjacent to } w_i\}.$$

If  $|W_{i-1}| \geq u$  holds, then  $\deg_{G[W_i]}(w_i) \leq d(|W_i| - 1)$ , implying that

$$|W_i| \geq (1 - d)(|W_{i-1}| - 1) \geq (1 - d)(1 - 1/u)|W_{i-1}|.$$

## Large independent sets: greedy bound

Given graph  $G$  and  $0 < d < 1 < u$  with  $\delta(G[S]) \leq d(|S| - 1)$  for all  $S \subseteq V(G)$  of size  $|S| \geq u$ . Then  $\alpha(G[W]) \geq -\log_{(1-d)(1-1/u)}(|W|/u)$  for any  $W \subseteq V(G)$  of size  $|W| \geq u$ .

Construct independent set greedily: set  $W_0 = W$  and, for  $i \geq 1$ , pick  $w_i \in W_{i-1}$  with minimal degree in  $G[W_{i-1}]$  and set

$$W_i = \{v \in W_{i-1} : v \text{ not adjacent to } w_i\}.$$

If  $|W_{i-1}| \geq u$  holds, then  $\deg_{G[W_i]}(w_i) \leq d(|W_i| - 1)$ , implying that

$$|W_i| \geq (1 - d)(|W_{i-1}| - 1) \geq (1 - d)(1 - 1/u)|W_{i-1}|.$$

So  $W_i$  is non-empty for

$$i - 1 \leq -\log_{(1-d)(1-1/u)}(|W|/u) =: I(W),$$

so we terminate with an independent set  $\{w_1, \dots, w_j\} \subseteq W$  of size  $j \geq \lfloor I(|W|) + 1 \rfloor \geq I(|W|)$ .

Very dense case  $1 - p = n^{-\Omega(1)}$

- **Heuristic:** Optimal colouring is obtained by taking as many disjoint  $\alpha$ -ISETs as possible, then covering the rest with  $(\alpha - 1)$ -ISETs
- **Main source of fluctuation:** *number of  $\alpha$ -ISETs*

### Conjecture

$(\log n)^{1/\binom{r}{2}} n^{-2/r} \ll 1 - p \ll n^{-2/(r+1)}$  for some integer  $r \geq 1$ . Let  $\mu_{r+1} = \mu_{r+1}(n, p) := \binom{n}{r+1} (1 - p)^{\binom{r+1}{2}}$  be the expected number of  $r + 1$ -ISET. Then

$$\ell(n, p) = \omega \sqrt{\mu_{r+1}}$$

# Very dense case $1 - p = n^{-\Omega(1)}$ conjecture

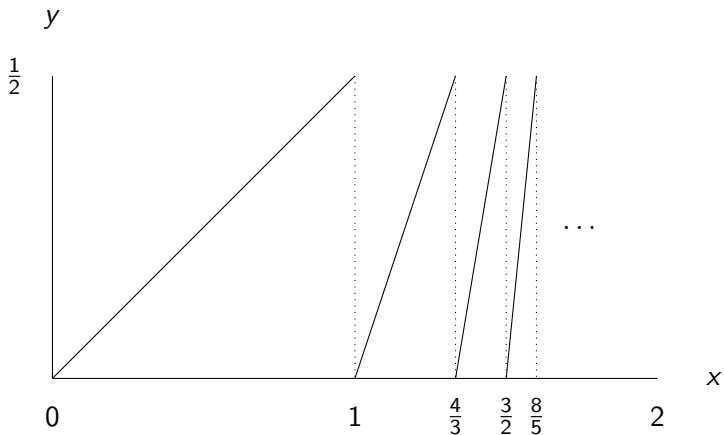


Figure: Conjecture predicts if  $n^2(1 - p) = n^{x+o(1)}$ , then  $\ell(n, p) = n^{y+o(1)}$

Concentration result:  $1 - p = O(1/n)$

- **Number of ISET of size  $\geq 3$  is negligible:**  
Problem reduces to studying maximum matching on complement
- **Main source of fluctuation in maximum matching on  $G_{n,q}$ :**  
*Fluctuation of isolated edges.*

Theorem Surya and Warnke (2022+)

$$Cn\sqrt{q} \leq \ell(n, p) \leq \omega n\sqrt{q}$$

- **Lower bound:** from fluctuation of isolated edges in complement  $G_{n,q}$
- **Upper bound:** from Talagrand's inequality